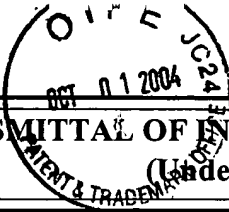


IFW



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112857-459

In Re Application Of: Masakazu Nakamura et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/700,014	11/03/2003		29175	3621	3703

Title: **AN APPARATUS, SYSTEM AND METHOD FOR ELECTRONIC TICKET MANAGEMENT AND ELECTRONIC TICKET DISTRIBUTION AUTHENTICATION**

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
112857-459

In Re Application: Masakazu Nakamura et al.

OCT 01 2004

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/700,014	11/03/2003		29175	3621	3703

**AN APPARATUS, SYSTEM AND METHOD FOR ELECTRONIC TICKET MANAGEMENT AND  
ELECTRONIC TICKET DISTRIBUTION AUTHENTICATION**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

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I certify that this document and fee is being deposited on  
9/28/04 with the U.S. Postal Service as first class  
mail under 37 C.F.R. 1.8 and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450.

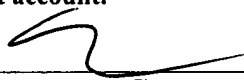


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Signature of Person Mailing Correspondence

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Typed or Printed Name of Person Mailing Certificate

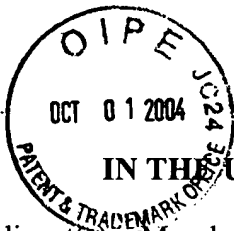
\*This certificate may only be used if paying by  
deposit account.

  
\_\_\_\_\_  
Signature

Dated: September 28, 2004

Thomas C. Basso  
Reg. No. 46,541  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Telephone: (312) 807-4310

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Masakazu Nakamura et al.  
Appl. No.: 10/700,014  
Conf. No.: 3703  
Filed: November 3, 2003  
Title: AN APPARATUS, SYSTEM AND METHOD FOR ELECTRONIC TICKET  
MANAGEMENT AND ELECTRONIC TICKET DISTRIBUTION  
AUTHENTICATION  
Art Unit: 3621  
Examiner:  
Docket No.: 112857-459

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

**U.S. PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
6,173,209	01-2001	Laval et al.
5,502,806	03-1996	Mahoney et al.
6,192,349	02-2001	Husemann et al.
5,239,480	08-1993	Huegel, Douglas
6,216,227	04-2001	Goldstein et al.
6,148,091	11-2000	DiMaria, Peter C.
5,598,477	01-1997	Berson, William
5,721,781	02-1998	Deo et al.
6,659,343	12-2003	Tanaka, Katsuyuki
6,067,532	05-2000	Gebb, Lucas

6,532,345	03-2003	Gluck, Adrian
3,988,570	10-1976	Murphy et al.
4,139,764	02-1979	Petrini et al.
5,930,761	07-1999	O'Toole, Martin J.
6,422,463	07/2002	Flink, Jonathan C.
5,754,654	05-1998	Hiroya et al.
5,309,355	05-1994	Lockwood, Lawrence B.

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
WO 97/18534 A1	05-1997	WIPO
EP 0969426 A1	05-2000	EPO

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

\_\_\_\_\_  
Thomas C. Basso  
Reg. No. 46,541  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Telephone: (312) 807-4310

Dated: September 28, 2004

<b>INFORMATION DISCLOSURE CITATION</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary) OCT 01 2004 PTO Form 1449	Atty Docket No. 112857-459	Application No. 10/700,014
	Applicant Masakazu Nakamura et al.	
	Filing Date 11/03/2003	Group

U.S. PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate	
	6,173,209	01-2001	Laval et al.				
	5,502,806	03-1996	Mahoney et al.				
	6,192,349	02-2001	Husemann et al.				
	5,239,480	08-1993	Huegel, Douglas				
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	6,148,091	11-2000	DiMaria, Peter C.				
	5,598,477	01-1997	Berson, William				
	5,721,781	02-1998	Deo et al.				
	6,659,343	12-2003	Tanaka, Katsuyuki				
	6,067,532	05-2000	Gebb, Lucas				
	6,532,345	03-2003	Gluck, Adrian				
	3,988,570	10-1976	Murphy et al.				
	4,139,764	02-1979	Petrini et al.				
	5,930,761	07-1999	O'Toole, Martin J.				
	6,422,463	07/2002	Flink, Jonathan C.				
	5,754,654	05-1998	Hiroya et al.				
	5,309,355	05-1994	Lockwood, Lawrence B.				

FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 97/18534 A1	05-1997	WIPO				
	EP 0969426 A1	05-2000	EPO				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	